

**THE UNITED REPUBLIC OF TANZANIA
MINISTRY OF WATER**



WAMI/RUVU BASIN WATER BOARD

**ENHANCING CLIMATE RESILIENCE OF WATER
RESOURCES IN MKONDOA CATCHMENT PROJECT
(ECRWRMCP)**

**ENVIRONMENTAL & SOCIAL MANAGEMENT PLAN
(ESMP)**

JULY 2025

GENERAL CONSIDERATIONS

1. The **Wami/Ruvu Basin Water Board (WRBWB)** is planning to implement the Enhancing Climate Resilience of Water Resources in Mkondoa Catchment Project . The Bank has agreed to provide financing, implementation support and monitoring for the Project.
2. The **Wami/Ruvu Basin Water Board (WRBWB)** will implement measures and actions of this Environmental and Social Management Plan¹ (ESMP) so that the Project meets all the requirements of the Bank Environmental and Social Operational Safeguards (OS) and the National policy and legal requirements.
3. Where the ESMP refers to specific plans, whether they have already been prepared or are to be developed, the ESMP requires compliance with all mandatory provisions of such plans.
4. The table below summarizes the material measures and actions that are required, the basis of the requirement, the timing of the measure or action, and the criteria to be used for determining whether the required measure or action has been successfully achieved. The **Wami/Ruvu Basin Water Board (WRBWB)** is responsible for compliance with all requirements of the ESMP even when implementation of specific measures and actions is conducted by an entity different from the Project Implementation Unit (PIU).
5. Implementation of the material measures and actions set out in this ESMP will be monitored and reported to the Bank by the **Wami/Ruvu Basin Water Board (WRBWB)** as required by the ESMP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the measures and actions throughout implementation of the Project.
6. As agreed by the Bank and the **Wami/Ruvu Basin Water Board (WRBWB)**, this ESMP may be revised from time to time during Project implementation, to reflect adaptive risk management of project changes and unforeseen circumstances or in response to assessment of project performance conducted under the ESMP itself. In such circumstances, the **Wami/Ruvu Basin Water Board (WRBWB)** will propose and agree changes with the Bank, and then update the ESMP to reflect such changes.

¹ The ESMP refers to all the E&S risks/impacts and measures, as approved in all the disclosed E&S documents and agreed between the Bank and Borrower. For projects involving multiple subprojects, that are identified, prepared and implemented during the course of the project, the Borrower will need to demonstrate to the Bank, before the project appraisal, through the preparation of E&S documentation of a sample of subprojects, that it has the capacity to carry out appropriate environmental and social assessment of subprojects, and prepare and implement such subprojects in accordance with the national laws and the OSs. (*Section III.2.3 of Bank's ESP and section D of OSI*)

| Material Actions² to Manage the Project's E&S Risks and Impacts | | Basis for Requirement | Key Performance Indicator | Indicative Timing/Deadline |
|---|--|---|--|---|
| Periodic E&S implementation report to the Bank | | Bank's ESP and OS1 | Reports submitted on time, in good standard | Two weeks after the due period |
| 1 | Recruitment of E and S specialists as part of the Project implementation unit | Disclosed ESIA, OS1 | Seasoned E&S specialists in the PIU | By Project effectiveness date |
| 2 | Establishment of the Project Grievance Redress Mechanism (GRM) and disclosure to Public | OS1, OS10 and National requirements | Established and operational GRM with well-resourced and facilitated GRC, Satisfactory to National and Bank requirements. | By project effectiveness date |
| 3 | Payment of compensation and reinstallation of affected people | SO10 | Signed Land Donation Forms are disclosed. | Before project effectiveness date |
| 4 | Incorporation of site-specific E&S measures in the request for proposals | SO1 & national requirements | Incorporation of E & S measures in Project proposals | During the procurement process but prior to publishing of requests for proposals (RFPs) |
| 5 | Submission of high-risk activity's Contractor ESMP (C-ESMP) to Bank clearance | Bank's ESP and OS1 | Contractor ESMP (C-ESMP) submitted for clearance | Before the commencement of works. |
| 6 | Establishment of the Contractor's Grievance Mechanism (GM) and information of workers | OS1, OS2, SO10 and Bank's Disclosure and Access to Information Policy | An established grievance redress mechanism (GRM) is provided and accessible for all project workers to raise workplace concerns. | Prior to the time of recruitment of the Contractor's workers |
| 7 | Obtaining nationally required licenses prior commencement of subjected activities (excavations, tree-cutting, working at height, working in confined spaces, etc.) | OS1, OS2 and national labor laws | Applicable nationally required relevant licenses obtained | Before the commencement of the relevant activities. |
| 8 | Preparation, approval, and disclosure of specific E&S documents during Project implementation, including prior review of Category 1 terms of reference by the Bank | Bank's ESP, OS1 and national requirements | Approved and disclosed site-specific E&S documents prepared before and during project implementation, including cleared terms of reference | Before commencement of the project activities and after identification of E&S issue during the project implementation |

² Please add any relevant key actions and/or indicate "Not applicable" in the third column ("Basis for requirement") for actions that are not applicable to the project.

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| 9 | Engagement with concerned stakeholders of each relevant specific E&S activity | OS1, OS10, Bank's Disclosure and Access to Information Policy | Approved and disclosed the Stakeholder engagement plan (SEP). Minutes of stakeholder meetings conducted and a progress report of SEP implementation in place. | Before commencement of activities, and continuously during project implementation. |
| 10 | Establishment of Emergency Preparedness and Response mechanism | OS1 & OS4, national legislation on contingencies et | Emergency Preparedness Plan in place as part of the C-ESMP | Before commencement of works and whenever a high-risk emergency requirement is identified |
| 11 | Appropriate and timely handling of complaints/grievances | Bank's ESP and OS1 | Operational Project Level Grievance Redress Mechanism (GRM). Grievance logs in place | Within 7 working days after the complaints and grievances is reported |
| 12 | Notification to riparian or alert to downstream exposed peoples | Bank's ESP and OS1, applicable International Treaty/Convention ratified | NA | NA |
| 13 | Capacity building of key project implementers | OS1 | Reports on Capacity building of key project implementers | As per the reporting periods, according to the approved capacity building implementation plan. |
| 14 | Implementation of ESMS/ESAP ³ | OS1 and OS9, national requirements | NA | NA |
| 14.1 | <i>Approval of any required E&S management procedure</i> | Ditto | NA | NA |
| 14.2 | <i>Establishment of the E&S unit</i> | Ditto | NA | NA |
| 14.3 | <i>Capacity Building of the E&S Unit</i> | Ditto | NA | NA |
| 14.4 | <i>Processing the Value Chain E&S due diligence</i> | Ditto | NA | NA |
| 15 | Suspending works in the event of EOHS risk or incident, immediately notify the Bank, and resume works only upon no-objection of the Bank. | Bank's ESP | Notification served to the Bank, timely | Immediately and no later than 72 hours after the occurrence |

³ Applies to non-sovereign operations and public sector projects implemented by permanent autonomous Agencies/Institutions.

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| 16 | Prepare the root-cause analysis (RCA) of any fatal EOHS incident and implement the Corrective Action Plan (CAP). | Bank's ESP and OS1 | Root-cause analysis (RCA) of any fatal EOHS incident submitted, and implementation of the Corrective Action Plan (CAP) prepared. | Within 30 days of the occurrence of the incident. |
| 17 | Disclosure of Project's E&S reports to the public | OS1, OS10, Bank's Disclosure and Access to Information Policy | Disclosed ESIA and SEP reports Disclosed Land Donation Forms Disclosed the annual E&S Audit report. | After the E&S Report(s) is cleared by the Bank and the National Environment Management Council of Tanzania Mainland (NEMC). |